Halo Benefits

POLICY

Assurance Roadmap

Our plan for independent security testing and certification.



UPDATED	VERSION	NEXT REVIEW
23 November 2026	2.0	November 2027

Halo Benefits Ltd · Owner: Alexander Dick, Head of Security & Operations · Classification: Internal & Public

Document control

Policy owner	Alexander Dick, Head of Security & Operations
Approved by	Board of Directors
Date approved	23rd November 2026
Next Review Date	23th November 2027
Review frequency	Annually
Applies to	Independent security assurance for Halo Benefits Ltd and the Halo Benefits platform
Related policies	Information Security Policy, Vulnerability and Patch Management Policy

Version history

Version	Date	Summary of changes	Author
1.0	30 Oct 2026	First issue	Alexander Dick
2.0	23 Nov 2026	Rebranded and restructured for clarity and readability	Dionne Clarke

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1. Purpose of this roadmap

This document sets out Halo Benefits Ltd's plan for independent security testing and certification, with target dates and current status. It is here so that customers can see exactly what we hold, what is in progress and what is planned.

2. Scope

This roadmap covers independent assurance over the information security of **Halo Benefits Ltd** (company number 17577358), with the Halo Benefits platform as our first priority.

3. Where we are today

In November 2026, Red Citadel, a CREST-accredited testing company, completed independent penetration tests of our web application (including its API) and our external infrastructure. No high or critical risks were found, and the external infrastructure test found no issues at all.

We do not yet hold Cyber Essentials, Cyber Essentials Plus, ISO 27001, ISO 22301 or SOC 2 certification, and we do not claim to. Our Cyber Essentials assessment with Red Citadel is in progress.

Alongside independent testing, we rely on automated security controls, including code security scanning, monitoring of third-party components, protection against passwords or keys being included in code, mandatory review and checks before any change goes live, and regular scans of the live platform.

4. Completed

Item	Date	Result
CREST web application and API penetration test (Red Citadel)	November 2026	No high or critical findings. Letter of attestation issued 20 November 2026.
CREST external infrastructure penetration test (Red Citadel)	November 2026	No findings. Letter of attestation issued 20 November 2026.
Automated scan of the live web application and API	October 2026	No failures. Minor low-risk warnings being addressed.
Backup restore test	October 2026	Data successfully restored into a separate, isolated environment

5. Roadmap

Item	Target	Status
Cyber Essentials	Winter 2026	In progress with Red Citadel
Cyber Essentials Plus	Within three months of Cyber Essentials (aiming for February 2027)	Agreed with Red Citadel
ISO 27001 readiness assessment	2027	Planned
Penetration testing	Every year, and after any major change	Ongoing

6. Sharing evidence

- Letters of attestation from our penetration tests are available on request.
- Certificates will be shared as soon as they are issued.
- More detailed test summaries and remediation status can be shared with customers and prospective customers under a non-disclosure agreement.
- Progress is reported to our Board every quarter. If a date slips, we update it openly and record the reason, rather than removing it.

7. Roles and responsibilities

- **Head of Security & Operations:** owns this roadmap, works with testers and certification bodies, and reports progress.
- **Board of Directors:** approves the roadmap and its budget, and reviews progress every quarter.
- **Engineering team:** fixes any findings from assessments and tests.

8. Our commitment

We will never tell a customer or prospect that a roadmap item is complete until we hold the certificate or report. Any change to a target date is approved by the Head of Security & Operations and reported to the Board.

9. Review

This roadmap is reviewed every quarter while items remain open, and at least once a year. The Head of Security & Operations proposes changes and the Board of Directors approves them.

> QUESTIONS ABOUT THIS POLICY?
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> Contact Alexander Dick, Head of Security & Operations, at security@halobenefits.co.uk.